

# State of Iowa 457 Employee Contribution Plan

## Mutual Fund 457(b) Deferred Compensation Enrollment Form

Group ID# 02228001 CS: D CS: M

**VALIC Retirement Services Company (VRSCO)**

This Enrollment Form should be used for initial enrollment and contribution allocation only. Future investment election changes may be made by calling VALIC Retirement Services Company at 1-800-448-2542 or by going online at [aig.com/RetirementServices](http://aig.com/RetirementServices). Investment election changes via paper forms will not be accepted.

### 1. CLIENT INFORMATION

Name: \_\_\_\_\_ SSN or Tax ID: \_\_\_\_\_

Mr.  Mrs.  Ms.  Dr.  Rev.

Gender:  Male  Female Marital Status:  Married  Not Married Date of Birth: \_\_\_\_\_

Residence Address\*: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Home Phone: (\_\_\_\_) \_\_\_\_\_ Work Phone: (\_\_\_\_) \_\_\_\_\_ Other Phone: (\_\_\_\_) \_\_\_\_\_

Mailing Address\* (if different from residence): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

\*All accounts will be updated with these addresses.

### 2. INVESTOR PROFILE

Have you previously purchased mutual funds or other securities?  No  Yes If yes, number of years: \_\_\_\_\_

**Investment Objective** (check one):  Safety of Principal  Long-Term Growth  Income

**Risk Profile:**  Higher Risk  Aggressive  Moderately Aggressive  Moderate  Moderately Conservative  Conservative  Cautious

#### Financial Situation:

Annual Household Income  Under \$50,000  \$50,000 - \$100,000  Over \$100,000 list amount:\$ \_\_\_\_\_

Net Worth(excluding value of primary residence)  Under \$50,000  \$50,000 - \$100,000  Over \$100,000 list amount:\$ \_\_\_\_\_

Life Insurance (face amount)  Under \$50,000  \$50,000 - \$100,000  Over \$100,000 list amount:\$ \_\_\_\_\_

Tax Bracket: \_\_\_\_\_% Dependents: Number: \_\_\_\_\_ Age(s): \_\_\_\_\_

Client Occupation: \_\_\_\_\_ Annual Salary: \$ \_\_\_\_\_ Date of Hire: \_\_\_\_\_

Is the Client employed by or registered with an FINRA member firm?  Yes  No

# State of Iowa 457 Employee Contribution Plan

## Mutual Fund 457(b) Deferred Compensation Enrollment Form

Group ID# 02228001 CS: D CS: M

**VALIC Retirement Services Company (VRSCO)**

SSN or Tax ID: \_\_\_\_\_

### 3. INVESTMENT ELECTIONS

Indicate investment elections below for all future contributions to the Plan. Use whole increments of 1% (no fractions) to total 100%.

- |   |   |
|---|---|
| <input type="checkbox"/> % ALLNCE BRNSTEIN DISC GRWTH Z (CHCZX)       | <input type="checkbox"/> % AMER CAP WORLD GROWTH & INC R6 (RWIGX) |
| <input type="checkbox"/> % AMERICAN AMCAP R6 (RAFGX)                  | <input type="checkbox"/> % AMERICAN EUROPACIFIC GROWTH R6 (RERGX) |
| <input type="checkbox"/> % AMERICAN FUNDS AMR BALANCED R6 (RLBGX)     | <input type="checkbox"/> % DFA INFLATION PROTECTED SEC I (DIPSX)  |
| <input type="checkbox"/> % DFA US SUSTAINABILITY CORE 1 (DFSIX)       | <input type="checkbox"/> % DFA US TARGETED VALUE I (DFFVX)        |
| <input type="checkbox"/> % FIXED-INTEREST OPTION (FB125) <sup>1</sup> | <input type="checkbox"/> % INVESCO HIGH YIELD FUND R6 (HYIFX)     |
| <input type="checkbox"/> % JP MORGAN DISCIPL EQUITY R6 (JDEUX)        | <input type="checkbox"/> % LEGG MASON CLRBRG SM CP GRW IS (LMOIX) |
| <input type="checkbox"/> % PIMCO TOTAL RET INSTL (PTTRX)              | <input type="checkbox"/> % SCHWAB PCRA (SPCRA)                    |
| <input type="checkbox"/> % VANGUARD EQUITY INC ADM (VEIRX)            | <input type="checkbox"/> % VANGUARD FEDERAL M MKT (VMFXX)         |
| <input type="checkbox"/> % VANGUARD INST IDX (VINIX)                  | <input type="checkbox"/> % VANGUARD MIDCAP INDEX INS (VMCIX)      |
| <input type="checkbox"/> % VANGUARD REAL ESTATE INDEX ADM (VGSIX)     | <input type="checkbox"/> % VANGUARD SM CP IDX ADM (VSMAX)         |
| <input type="checkbox"/> % VANGUARD TARGET RETIRE 2065 (VLXVX)        | <input type="checkbox"/> % VANGUARD TGT RTMT 2015 (VTXVX)         |
| <input type="checkbox"/> % VANGUARD TGT RTMT 2020 (VTWNX)             | <input type="checkbox"/> % VANGUARD TGT RTMT 2025 (VTTVX)         |
| <input type="checkbox"/> % VANGUARD TGT RTMT 2030 (VTHRXX)            | <input type="checkbox"/> % VANGUARD TGT RTMT 2035 (VTTHX)         |
| <input type="checkbox"/> % VANGUARD TGT RTMT 2040 (VFORX)             | <input type="checkbox"/> % VANGUARD TGT RTMT 2045 (VTIVX)         |
| <input type="checkbox"/> % VANGUARD TGT RTMT 2050 (VFIIX)             | <input type="checkbox"/> % VANGUARD TGT RTMT 2055 (VFFVX)         |
| <input type="checkbox"/> % VANGUARD TGT RTMT 2060 (VTTSX)             | <input type="checkbox"/> % VANGUARD TGT RTMT INC (VTINX)          |
| <input type="checkbox"/> % VANGUARD TL BD MK IDX ADM (VBTLX)          | <input type="checkbox"/> % VANGUARD TOT INTL STOCK INST (VTSNX)   |
| <input type="checkbox"/> % WELLSFARGO SP MD CP VAL R6 (WFPRX)         |   |

100% Total

<sup>1</sup> Policy Form GFUA-398 or GFUA-12, a group fixed unallocated annuity, issued by The Variable Annuity Life Insurance Company, Houston, Texas.

### 4. DOCUMENT DELIVERY CHOICES

E-mail Address: \_\_\_\_\_

**By providing my e-mail address above, I elect to enroll in Personal Deliver-e®, VALIC's electronic document delivery service**

Electronic delivery is a free service though you may pay Internet service provider fees to access the Internet or receive e-mails. VALIC will send e-mail notices when regulatory disclosures, transaction confirmations and account statements are available for viewing and/or printing online. You may customize your selections at [aig.com/RetirementServices](http://aig.com/RetirementServices).

**I elect to continue receiving account information and related materials in a printed format.**

AIG Retirement Services represents AIG Member Companies - The Variable Annuity Life Insurance Company (VALIC) and its subsidiaries VALIC Financial Advisors, Inc. (VFA) and VALIC Retirement Services Company (VRSCO). All are members of American International Group, INC. (AIG).

# State of Iowa 457 Employee Contribution Plan

## Mutual Fund 457(b) Deferred Compensation Enrollment Form

Group ID# 02228001 CS: D CS: M

**VALIC Retirement Services Company (VRSCO)**

SSN or Tax ID: \_\_\_\_\_

### 5. DISTRIBUTION DATE (Private Not-for-Profit Employers Only)

Date to begin payment of Plan benefits: \_\_\_\_\_

Note: The date selected must meet the following criteria:

- No earlier than the 61st day after separation from service, and
- Before April 1st of the year after the calendar year in which you separate from service or attain age 70½, whichever is later.

*Changes to the distribution date can be made up to 60 days after separation from service. Your distribution date will then be final, unless the Plan allows you to later choose a one-time deferral of such date.*

### 6. CLIENT SIGNATURE

I understand that transfers from the Fixed-Interest Option may be restricted. I understand that mutual fund investment return and principal value will fluctuate so that when redeemed any shares in my Plan account may be worth more or less than the original cost. I affirm that the information on this form is accurate and complete, to the best of my knowledge.

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signed At: City/State

**Upon completion of this form, a Deferred Compensation Agreement and Beneficiary Designation Form must be submitted with document to VALIC Retirement Services Company.**

Please fax this form and any documentation to 1-877-202-0187 or mail to the address below for processing:

VALIC Document Control  
P.O. Box 15648  
Amarillo, TX 79105-5648

If overnight delivery:

VALIC Retirement Services Company  
1050 N. Western St.  
Amarillo, TX 79106-7011

**Questions about this form may be directed to 1-800-448-2542, Monday through Friday, 7 a.m. - 8 p.m. Central Time**

### For Home Office Use Only

\_\_\_\_\_  
Financial Advisor Name (Print)

\_\_\_\_\_  
Financial Advisor Signature

\_\_\_\_\_  
Date

Agent#: \_\_\_\_\_ Region Code: \_\_\_\_\_

\_\_\_\_\_  
Principal Approval

\_\_\_\_\_  
Date

AIG Retirement Services represents AIG Member Companies - The Variable Annuity Life Insurance Company (VALIC) and its subsidiaries VALIC Financial Advisors, Inc. (VFA) and VALIC Retirement Services Company (VRSCO). All are members of American International Group, INC. (AIG).