# Iowa Retirement Investors' Club (RIC) 403B Plan

## *Mutual Fund 403(b) Enrollment Form*

corebridge financial
VALIC Retirement Services Company (VRSCO)

☐ Group ID# 02228005 CS: 6 CS: 8
CS: M

This Enrollment Form should be used for initial enrollment and contribution allocation only. Future investment election changes may be made by Phone at 1-800-448-2542 or by accessing your online account at corebridgefinancial.com/rs. Investment election changes via paper forms will not be accepted.

### 1. CLIENT INFORMATION

Name: _____ SSN or Tax ID: _____

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. ☐ Rev.

Gender: ☐ Male ☐ Female Marital Status: ☐ Married ☐ Not Married Date of Birth: _____

Residence Address*: _____

City: _____ State: _____ ZIP: _____

Home Phone: (_____)_____ Work Phone: (_____)_____ Other Phone: (_____)_____

Mailing Address* (if different from residence): _____

City: _____ State: _____ ZIP: _____
*All accounts will be updated with these addresses.

**Location Code** (See attached location codes): _____ Location Name: _____

### 2. INVESTOR PROFILE

Have you previously purchased mutual funds or other securities? ☐ No ☐ Yes If yes, number of years: _____

**Investment Objective** (check one): ☐ Safety of Principal ☐ Long-Term Growth ☐ Income

**Risk Profile:** ☐ Higher Risk ☐ Aggressive ☐ Moderately Aggressive ☐ Moderate ☐ Moderately Conservative ☐ Conservative ☐ Cautious

**Financial Situation:**
Annual Household Income ☐ Under $50,000 ☐ $50,000 - $100,000 ☐ Over $100,000 list amount:$_____
Net Worth(excluding value of primary residence) ☐ Under $50,000 ☐ $50,000 - $100,000 ☐ Over $100,000 list amount:$_____
Life Insurance (face amount) ☐ Under $50,000 ☐ $50,000 - $100,000 ☐ Over $100,000 list amount:$_____

Tax Bracket: _____% Dependents: Number: _____ Age(s): _____

Client Occupation: _____ Annual Salary: $_____ Date of Hire: _____

Is the Client employed by or registered with an FINRA member firm? ☐ Yes ☐ No

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### 3. INVESTMENT ELECTIONS

Indicate investment elections below for all future contributions to the Plan. Use whole increments of 1% (no fractions) to total 100%.

____% ALLSPRING CORE PLUS BD FUND R6 (STYJX)

____% DFA INFLATION PROTECTED SEC I (DIPSX)

____% DFA REAL ESTATE SECURITIES I (DFREX)

____% DFA US VECTOR EQUITY I (DFVEX)

____% FIXED-INTEREST OPTION (FB125)[1]

____% SCHWAB PCRA (SPCRA)

____% VANGUARD EQUITY INC ADM (VEIRX)

____% VANGUARD FED MONEY MARKET INV (VMFXX)

____% VANGUARD INST IDX (VINIX)

____% VANGUARD MIDCAP INDEX INS (VMCIX)

____% VANGUARD TARGET RET 2070 (VSVNX)

____% VANGUARD TGT RTMT 2015 (VTXVX)

____% VANGUARD TGT RTMT 2025 (VTTVX)

____% VANGUARD TGT RTMT 2035 (VTTHX)

____% VANGUARD TGT RTMT 2045 (VTIVX)

____% VANGUARD TGT RTMT 2055 (VFFVX)

____% VANGUARD TGT RTMT INC (VTINX)

____% CARILLON EAGLE MID CAP GROWTH (HRAUX)

____% DFA LARGE CP INTERNATIONAL I (DFALX)

____% DFA US TARGETED VALUE I (DFFVX)

____% FIDELITY TOT MKT IDX INST PREM (FSKAX)

____% MFS MASS INV GTH STK R6 (MIGNX)

____% VANGUARD DEV MKT IND FUND INST (VTMNX)

____% VANGUARD EXPLORER ADM (VEXRX)

____% VANGUARD HIGH YIELD CORP ADML (VWEAX)

____% VANGUARD INTRM-TRM BND IDX ADM (VBILX)

____% VANGUARD SMALL CAP INSTL (VSCIX)

____% VANGUARD TARGET RETIRE 2065 (VLXVX)

____% VANGUARD TGT RTMT 2020 (VTWNX)

____% VANGUARD TGT RTMT 2030 (VTHRX)

____% VANGUARD TGT RTMT 2040 (VFORX)

____% VANGUARD TGT RTMT 2050 (VFIFX)

____% VANGUARD TGT RTMT 2060 (VTTSX)

____% VANGUARD WELLINGTON ADM (VWENX)

100% Total

1 Policy Form GFUA-398 or GFUA-12, a group fixed unallocated annuity, issued by The Variable Annuity Life Insurance Company, Houston, Texas.

### 4. DOCUMENT DELIVERY CHOICES

E-mail Address: _____

☐ **By providing my e-mail address above, I elect to enroll in Personal Deliver-e®, VALIC's electronic document delivery service**

Electronic delivery is a free service though you may pay Internet service provider fees to access the Internet or receive e-mails. VALIC will send e-mail notices when regulatory disclosures, transaction confirmations and account statements are available for viewing and/or printing online. You may customize your selections at by accessing your online account.

☐ **I elect to continue receiving account information and related materials in a printed format.**

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CS: M

SSN or Tax ID: __ __ __ - __ __ - __ __ __ __

## 5. CLIENT SIGNATURE

I understand that transfers from the Fixed-Interest Option may be restricted. I understand that mutual fund investment return and principal value will fluctuate so that when redeemed any shares in my Plan account may be worth more or less than the original cost. I affirm that the information on this form is accurate and complete, to the best of my knowledge.

Client Signature _____ Date _____

Signed At: City/State _____

**Upon completion of this form, a Salary Reduction Agreement and Beneficiary Designation Form must be submitted with document to VALIC Retirement Services Company.**

Please fax this form and any documentation to 1-877-202-0187 or mail to the address below for processing:

VALIC Document Control
P.O. Box 15648
Amarillo, TX 79105-5648

If overnight delivery:
VALIC Retirement Services Company
1050 N. Western St.
Amarillo, TX 79106-7011

**Questions about this form may be directed to 1-800-448-2542, Monday through Friday, 7 a.m. - 8 p.m. Central Time**

## For Home Office Use Only

Financial Representative Name (Print) _____

Financial Representative Signature _____ Date _____

Agent#: _____ Region Code: _____

Principal Approval _____ Date _____

# Iowa Retirement Investors' Club (RIC) 403B Plan

## *Location Codes*

☐ Group ID# 02228005 CS: 6 CS: 8
CS: M

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*Refer to Location list below when completing Section 1 of the Enrollment Form*

| CODE | NAME | CODE | NAME |
|---|---|---|---|
| 0009 | AGWSR | 0018 | ADAIR-CASEY |
| 0027 | ADEL-DE SOTO- MINBURN | 0063 | AKRON WESTFIELD |
| 0072 | ALBERT CITY- TRUESDALE | 0081 | ALBIA |
| 0099 | ALBURNETT | 0108 | ALDEN |
| 0126 | ALGONA | 0135 | ALLAMAKEE |
| 0153 | NORTH BUTLER | 0171 | ALTA |
| 0225 | AMES | 0234 | ANAMOSA |
| 0243 | ANDREW | 0261 | ANKENY |
| 0270 | ANTHON- OTO | 0279 | APLINGTON- PARKERSBURG |
| 0333 | ARMSTRONG- RINGSTED | 0355 | AR-WE-VA |
| 0387 | ATLANTIC | 0414 | AUDUBON |
| 0423 | AURELIA | 0441 | A-H-S-T |
| 0472 | BALLARD | 0504 | BATTLE CREEK- IDA GROVE |
| 0513 | BAXTER | 0540 | BCLUW |
| 0549 | BEDFORD | 0576 | BELLE PLAINE |
| 0585 | BELLEVUE | 0594 | BELMOND- KLEMME |
| 0603 | BENNETT | 0609 | BENTON |
| 0621 | BETTENDORF | 0657 | EDDYVILLE- BLAKESBURG |
| 0720 | BONDURANT- FARRAR | 0729 | BOONE |
| 0747 | BOYDEN- HULL | 0819 | WEST HANCOCK |
| 0846 | BROOKLYN- GUERNSEY- MALCOM | 0873 | NORTH IOWA |
| 0882 | BURLINGTON | 0914 | CAM CSD |
| 0916 | CALAMUS/WHEATLAND | 0918 | CAL |
| 0936 | CAMANCHE | 0977 | CARDINAL |
| 0981 | CARLISLE | 0999 | CARROLL |
| 1 | NORTHEAST IOWA | 10 | KIRKWOOD |
| 1044 | CEDAR FALLS | 1053 | CEDAR RAPIDS |
| 1062 | CENTER POINT- URBANA | 1071 | CENTERVILLE |

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| | | | |
|---|---|---|---|
| 1079 | CENTRAL DECATUR | 1080 | CENTRAL LYON |
| 1082 | CENTRAL CITY | 1089 | CENTRAL |
| 1093 | CENTRAL CLINTON | 1095 | CENTRAL LEE |
| 11 | DMACC | 1107 | CHARITON |
| 1116 | CHARLES CITY | 1134 | CHARTER OAK-UTE |
| 1152 | CHEROKEE | 1197 | CLARINDA |
| 12 | WESTERN IA TECH | 1206 | CLARION- GOLDFIELD |
| 1211 | CLARKE | 1215 | CLARKSVILLE |
| 1218 | CLAY CENTRAL/EVERLY | 1221 | CLEAR CREEK- AMANA |
| 1224 | CLEARFIELD | 1233 | CLEAR LAKE |
| 1278 | CLINTON | 13 | IOWA WESTERN |
| 1332 | COLFAX- MINGO | 1337 | COLLEGE |
| 1350 | COLLINS- MAXWELL | 1359 | COLO-NESCO |
| 1368 | COLUMBUS | 14 | SOUTHWESTERN |
| 1413 | COON RAPIDS- BAYARD | 1431 | CORNING |
| 1449 | CORWITH- WESLEY | 1476 | COUNCIL BLUFFS |
| 15 | INDIAN HILLS | 1503 | CRESTON |
| 1576 | DALLAS CENTER-GRIMES | 16 | SOUTHEASTERN |
| 1602 | DANVILLE | 1611 | DAVENPORT |
| 1619 | DAVIS COUNTY | 1638 | DECORAH |
| 1647 | DEEP RIVER- MILLERSBURG | 1675 | DELWOOD |
| 1701 | DENISON | 1719 | DENVER |
| 1737 | DES MOINES INDEPENDENT | 1782 | DIAGONAL |
| 1791 | DIKE - NEW HARTFORD | 1854 | DOWS |
| 1863 | DUBUQUE | 1908 | DUNKERTON |
| 1917 | BOYER VALLEY | 1926 | DURANT |
| 1944 | EAGLE GROVE | 1953 | EARLHAM |
| 1963 | EAST BUCHANAN | 1965 | EASTON VALLEY CSD |
| 1967 | EAST GREENE | 1968 | EAST MARSHALL |
| 1970 | EAST UNION | 1972 | EASTERN ALLAMAKEE |
| 1975 | RIVER VALLEY | 1989 | EDGEWOOD- COLESBURG |

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| | | | |
|---|---|---|---|
| 2 | NORTH IOWA AREA | 2007 | ELDORA- NEW PROVIDENCE |
| 2016 | ELK HORN- KIMBALLTON | 2061 | EDDYVILLE-BLAKESBURG-FREEMONT |
| 2088 | EMMETSBURG | 2097 | ENGLISH VALLEYS |
| 2113 | ESSEX | 2124 | ESTHERVILLE LINCOLN |
| 2151 | EXIRA | 2169 | FAIRFIELD |
| 2205 | FARRAGUT | 2295 | FOREST CITY |
| 2313 | FORT DODGE | 2322 | FORT MADISON |
| 2349 | FREDERICKSBURG | 2367 | FREMONT |
| 2369 | FREMONT- MILLS | 2376 | GALVA- HOLSTEIN |
| 2403 | GARNER- HAYFIELD | 2457 | GEORGE- LITTLE ROCK |
| 2466 | GILBERT | 2493 | GILMORE CITY- BRADGATE |
| 2502 | GLADBROOK- REINBECK | 2511 | GLENWOOD |
| 2520 | GLIDDEN- RALSTON | 2556 | GRAETTINGER |
| 2664 | MERGED TO SG 11 | 2673 | NODAWAY VALLEY |
| 2682 | GMG | 2709 | GRINNELL- NEWBURG |
| 2718 | GRISWOLD | 2727 | GRUNDY CENTER |
| 2754 | GUTHRIE CENTER | 2763 | CLAYTON RIDGE |
| 2766 | H-L-V | 2772 | HAMBURG |
| 2781 | HAMPTON- DUMONT | 2826 | HARLAN |
| 2834 | HARMONY | 2846 | HARRIS- LAKE PARK |
| 2862 | HARTLEY- MELVIN- SANBORN | 2977 | HIGHLAND |
| 2988 | HINTON | 3 | IOWA LAKES |
| 3029 | HOWARD- WINNESHIEK | 3033 | HUBBARD- RADCLIFFE |
| 3042 | HUDSON | 3060 | HUMBOLDT |
| 3105 | INDEPENDENCE | 3114 | INDIANOLA |
| 3119 | INTERSTATE 35 | 3141 | IOWA CITY |
| 3150 | IOWA FALLS | 3154 | IOWA VALLEY |
| 3168 | IKM-MANNING CSD | 3186 | JANESVILLE CONSOLIDATED |
| 3195 | JEFFERSON- SCRANTON | 3204 | JESUP |
| 3231 | JOHNSTON | 3312 | KEOKUK |
| 3330 | KEOTA | 3348 | KINGSLEY- PIERSON |

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| | | | |
|---|---|---|---|
| 3375 | KNOXVILLE | 3420 | LAKE MILLS |
| 3465 | LAMONI | 3537 | LAURENS- MARATHON |
| 3555 | LAWTON- BRONSON | 3600 | LE MARS |
| 3609 | LENOX | 3645 | LEWIS CENTRAL |
| 3691 | NORTH CEDAR | 3705 | MERGED TO SG 338 |
| 3715 | LINN- MAR | 3744 | LISBON |
| 3798 | LOGAN- MAGNOLIA | 3816 | LONE TREE |
| 3841 | LOUISA-MUSCATINE | 3897 | LU VERNE |
| 3906 | LYNNVILLE- SULLY | 3942 | MADRID |
| 3978 | EAST MILLS CSD | 4 | NORTHWEST IOWA |
| 4023 | MANSON NORTHWEST WEBSTER | 4033 | MAPLE VALLEY |
| 4041 | MAQUOKETA | 4043 | MAQUOKETA VALLEY |
| 4068 | MARCUS- MERIDEN- CLEGHORN | 4086 | MARION INDEPENDENT |
| 4104 | MARSHALLTOWN | 4122 | MARTENSDALE-ST MARYS |
| 4131 | MASON CITY | 4149 | MOC- FLOYD VALLEY |
| 4203 | MEDIAPOLIS | 4212 | MELCHER- DALLAS |
| 4269 | MIDLAND | 4271 | MID- PRAIRIE |
| 4356 | MISSOURI VALLEY | 4419 | MFL MARMAC |
| 4437 | MONTEZUMA | 4446 | MONTICELLO |
| 4491 | MORAVIA | 4505 | MORMON TRAIL |
| 4509 | MORNING SUN | 4518 | MOULTON- UDELL |
| 4527 | MOUNT AYR | 4536 | MOUNT PLEASANT |
| 4554 | MOUNT VERNON | 4572 | MURRAY |
| 4581 | MUSCATINE | 4599 | NASHUA- PLAINFIELD |
| 4617 | NEVADA | 4644 | NEWELL- FONDA |
| 4662 | NEW HAMPTON | 4689 | NEW LONDON |
| 4725 | NEWTON | 4772 | CENTRAL SPRINGS CSD |
| 4773 | NORTHEAST HAMILTON | 4774 | NORTH FAYETTE |
| 4775 | NORTHEAST | 4776 | NORTH MAHASKA |
| 4777 | NORTH LINN | 4778 | NORTH KOSSUTH |
| 4779 | NORTH POLK | 4784 | NORTH SCOTT |

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| | | | |
|---|---|---|---|
| 4785 | NORTH TAMA COUNTY | 4787 | NORTH WINNESHIEK |
| 4788 | NORTHWOOD- KENSETT | 4797 | NORWALK |
| 4860 | ODEBOLT- ARTHUR | 4869 | OELWEIN |
| 4878 | OGDEN | 4890 | OKOBOJI |
| 4905 | OLIN CONSOLIDATED | 4978 | ORIENT- MACKSBURG |
| 4995 | OSAGE | 5 | IOWA CENTRAL |
| 5013 | OSKALOOSA | 5049 | OTTUMWA |
| 5121 | PANORAMA | 5139 | PATON- CHURDAN |
| 5160 | PCM | 5163 | PEKIN |
| 5166 | PELLA | 5184 | PERRY |
| 5250 | PLEASANT VALLEY | 5256 | PLEASANTVILLE |
| 5283 | POCAHONTAS AREA | 5301 | POMEROY- PALMER |
| 5310 | POSTVILLE | 5325 | PRAIRIE VALLEY |
| 5328 | PRESCOTT | 5337 | PRESTON |
| 5463 | RED OAK | 5486 | REMSEN- UNION |
| 5508 | RICEVILLE | 5510 | RIVERSIDE |
| 5607 | ROCK VALLEY | 5625 | ROCKWELL CITY- LYTTON |
| 5643 | ROLAND- STORY | 5697 | RUDD-ROCKFORD-MARBLE ROCK |
| 5724 | RUTHVEN-AYRSHIRE | 5742 | MERGED TO SG 330 |
| 5751 | ST ANSGAR | 5805 | SAYDEL |
| 5823 | SCHALLER- CRESTLAND | 5832 | SCHLESWIG |
| 5868 | SENTRAL | 5877 | SERGEANT BLUFF- LUTON |
| 5895 | SEYMOUR | 5922 | WEST FORK CSD |
| 5949 | SHELDON | 5976 | SHENANDOAH |
| 5994 | SIBLEY- OCHEYEDAN | 6 | IOWA VALLEY |
| 6003 | SIDNEY | 6012 | SIGOURNEY |
| 6030 | SIOUX CENTER | 6035 | SIOUX CENTRAL |
| 6039 | SIOUX CITY | 6091 | SOUTHERN CAL |
| 6092 | SOUTH CLAY | 6093 | SOLON |
| 6094 | SOUTHEAST WARREN | 6095 | SOUTH HAMILTON |
| 6096 | SOUTHEAST WEBSTER | 6097 | SOUTH PAGE |

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| | | | |
|---|---|---|---|
| 6098 | SOUTH TAMA COUNTY | 6099 | SOUTH O'BRIEN |
| 6100 | SOUTH WINNESHIEK | 6101 | SOUTHEAST POLK |
| 6102 | SPENCER | 6120 | SPIRIT LAKE |
| 6138 | SPRINGVILLE | 6165 | STANTON |
| 6175 | STARMONT | 6219 | STORM LAKE |
| 6246 | STRATFORD | 6264 | WEST CENTRAL |
| 6273 | SUMNER | 6345 | TERRIL |
| 6408 | TIPTON | 6417 | TITONKA CONSOLIDATED |
| 6453 | TREYNOR | 6460 | TRI- CENTER |
| 6462 | TRI- COUNTY | 6471 | TRIPOLI |
| 6509 | TURKEY VALLEY | 6512 | TWIN CEDARS |
| 6516 | TWIN RIVERS | 6534 | UNDERWOOD |
| 6536 | UNION | 6561 | UNITED |
| 6579 | URBANDALE | 6591 | VALLEY |
| 6592 | VAN BUREN | 6615 | VAN METER |
| 6633 | VENTURA | 6651 | VILLISCA |
| 6660 | VINTON-SHELLSBURG | 6700 | WACO |
| 6741 | EAST SAC COUNTY CSD | 6750 | WALNUT |
| 6759 | WAPELLO | 6762 | WAPSIE VALLEY |
| 6768 | WASHINGTON | 6795 | WATERLOO |
| 6822 | WAUKEE | 6840 | WAVERLY- SHELL ROCK |
| 6854 | WAYNE CSD | 6867 | WEBSTER CITY |
| 6921 | WEST BEND- MALLARD | 6930 | WEST BRANCH |
| 6937 | WEST BURLINGTON IND | 6943 | WEST CENTRAL VALLEY |
| 6950 | WEST DELAWARE COUNTY | 6957 | WEST DES MOINES |
| 6961 | WESTERN DUBUQUE | 6969 | WEST HARRISON |
| 6975 | WEST LIBERTY | 6983 | WEST LYON |
| 6985 | WEST MARSHALL | 6987 | WEST MONONA |
| 6990 | WEST SIOUX | 6992 | WESTWOOD |
| 7 | HAWKEYE | 7002 | WHITING |
| 7029 | WILLIAMSBURG | 7038 | WILTON |

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| | | | |
|---|---|---|---|
| 7047 | WINFIELD- MT UNION | 7056 | WINTERSET |
| 7083 | WODEN- CRYSTAL LAKE | 7092 | WOODBINE |
| 7098 | WOODBURY CENTRAL | 7110 | WOODWARD- GRANGER |
| 9 | EASTERN IOWA | | |

VALIC Retirement Services Company is a wholly owned subsidiary of Corebridge Financial, Inc.